



UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09-1987-136

01/20/98

HELMUT J. Z.

41

01/20/98/2002000

KRISTINA BIEBER BRADY
CLARK & ELBING
170 FEDERAL STREET
BOSTON MA 02110



DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

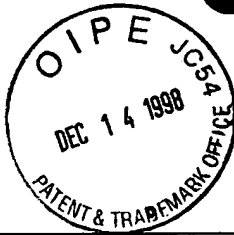
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

B. DAVENPORT
Customer Service Center
Initial Patent Examination Division (703) 308-1202

TO BE RETURNED WITH RESPONSE

2/18/1998 MARCUL 00000071 09087136 130.00 1 FC:105



PATENT
ATTORNEY DOCKET NO. 01997.202002

Certificate of Mailing: Date of Deposit: 12/11/98

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231.

Cathy Santos
Printed name of person mailing correspondence

[Signature]
Signature of person mailing correspondence

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: H. Robert Horvitz et al.

Art Unit: 1643

Serial No.: 09/087,136

Examiner:

Filed: May 28, 1998

Title: TUMOR SUPPRESSOR PATHWAY IN C. ELEGANS

Assistant Commissioner of Patents
Washington, D.C. 20231

REPLY TO NOTICE TO FILE MISSING PARTS

In reply to the Notice to File Missing Parts of Application mailed September 11, 1998 (a copy of which is enclosed), Applicant as a large entity submits herewith the following:

- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63.
- ☒ Payment of the surcharge of 130.00 for late filing of the basic filing fee/declaration.

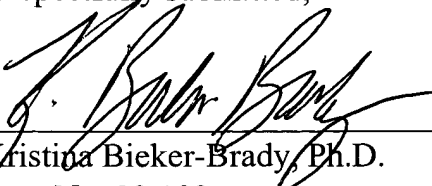
If there are any charges, or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date:

12/11/98



Kristina Bieker-Brady, Ph.D.
Reg. No. 39,109

Clark & Elbing LLP
176 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045

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STATEMENT UNDER 37 CFR §1.825

In reply to the Notice to Comply mailed September 11, 1998 and as required by 37 CFR 1.825(a), enclosed is an amended sequence listing consisting of 16 sheets to be inserted at the end of the application. The amendments made were required by the Examiner in the communication mailed September 11, 1998. I hereby submit that the substitute sheets contain no new matter.

As required by 37 CFR 1.825(b), enclosed is a diskette containing a copy of the sequence listing in computer readable form including all previously submitted data with the amendments incorporated therein. The contents of the computer readable form are the

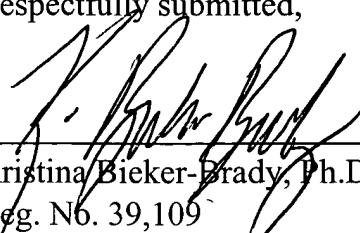
same as the contents of the paper sheets.

If there are any charges, or any credits, please apply them to Deposit Account No.

03-2095.

Date: 12/11/98

Respectfully submitted,



Kristina Bieker-Brady, Ph.D.
Reg. No. 39,109

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